Title IX Annual Report

Sexual Misconduct Claims Activity September 1, 2023 - August 31, 2024

Sheri Wakefield Director, EEO & HR Regulations Title IX Coordinator October 16, 2024

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Title IX Annual Report (September 1, 2023 – August 31, 2024)

Overview

- This report highlights The University of Texas MD Anderson Cancer Center's efforts to prevent and respond to incidents of sex discrimination, sexual harassment, intimtate partner violence (including dating and domestic violence), sexual assault, sexual misconduct, stalking, and other Title IX/sexual misconduct related allegations.
- This report is a collaborative effort made by many institutional partners to provide continued awareness, context, and transparency to the institution's policies, processes, education, and resources in relation to Title IX.
- For more information about Title IX, or to obtain reporting options, support resources, and training opportunities, please contact:

Title IX Office: 832-750-0550

Title IX Coordinator: 713-745-6174

Email: eeogroup@mdanderson.org

Website: www.mdanderson.org/TitleIX

Report a Claim: <u>Title IX Report Form</u>

Title IX Annual Report (September 1, 2023 – August 31, 2024)

EEO and Title IX Team

- Sheri Wakefield, Director of EEO and HR Regulations and <u>Title IX Coordinator</u>
- **Delana August,** EEO & Employment Regulations Specialist EEO and HR Regulations and Title IX Office
- Susan Calderone, EEO & Employment Regulations Specialist EEO and HR Regulations and Title IX Office
- Pleshette Cook, EEO & Employment Regulations Specialist EEO and HR Regulations and Title IX Office
- Jackie Greene, EEO & Employment Regulations Specialist EEO and HR Regulations and Title IX Office
- **Inez Rodriguez**, EEO & Employment Regulations Specialist EEO and HR Regulations and Title IX Office
- Kendra Wesson, EEO & Employment Regulations Specialist EEO and HR Regulations and Title IX Office
- Lydia Lizarondo, Sr. Human Resources Representative EEO and HR Regulations and Title IX Office

Contact Information

Email: eeogroup@mdanderson.org

Phone: 832-750-5500 **Fax:** 713-792-8515

Office Location

Mid-Campus Building 1 (1MC2 HRSC Reception Area) 7007 Bertner Avenue Houston, TX 77030-4009

Mailing Address

MD Anderson Cancer Center HR Strategic Partners 1515 Holcombe Blvd. Unit 1612 Houston, TX 77030-4009

Title IX Annual Report – Title IX & Sexual Misconduct Training (FY24)

School of Health Professions Student Orientation

(August 2024)

GME Orientation Training

(July & August 2024)

Leukemia Clinical Fellows Orientation

July 3, 2024

Institutional Employment Law & Practices Training (ELPT/EEE/SM)

(May – July 2024)

- Workforce Members Completion Rate: 99.7%
- Student Completion Rate: 100%

Department Trainings

On Demand Trainings/Safety Moments
Research Townhall – October 18, 2023
ECFS Presentation TIX/Investigative Process
10/25/2023

Research Training –TIX 4/3/2024

Ad hoc Division/Department Trainings as requested October 2023 – August 31, 2024

Title IX Team – Annual Title IX

Training Team Title IX Certification

(Host: UT Southwestern)

UT System/Husch Blackwell

August 7 & 8, 2024

(September 1, 2023 – August 31, 2024)

TO: U.T. System Board of Regents

FROM: Peter Pisters, MD

President and Chief Executive Officer

DATE: October 7, 2024

RE: Chief Executive Officer Reporting Requirements Under Tex. Educ. Code § 51.253(c)

Texas Education Code (TEC), Section 51.253(c) and Texas Administrative Code, Title 19, Sections 3.6(c) and 3.19(a) require institutions of higher education to submit a Chief Executive Officer Report ("CEO Report") to the institution's governing body and post the report on the institution's internet website "at least once annually," by October of each year.

The CEO Report must contain (1) all reports received by employees under the TEC, Section 51.252 that constitutes "sexual harassment," "sexual assault," "dating violence," or "stalking" (as defined in the TEC, Section 51.251), and (2) any disciplinary actions taken under TEC, Section 51.255 regarding allegations of an employee's failure to report or submitting a false report to the institution for alleged incidents of sexual harassment, sexual assault, dating violence, or stalking. The reports received may be applicable in multiple reporting categories, and therefore, the CEO summary data reflected in the categories may not add up to the totals of other categories.

In compliance with the Chief Executive Officer's TEC reporting requirements, the enclosed CEO Report includes all of the required reporting to the U.T. System Board of Regents for the 2023-2024 academic year (Appendix A) and status updates to the 2022-2023 academic year (Appendix B) as of **August 31, 2024**. The CEO Report is based on the reporting requirements under TEC, Section 51.253(c) along with supplemental category breakdowns, when applicable.

The CEO Report will be posted on the <u>www.mdanderson.org/TitleIX</u> as required by TEC, Section 51.253(c), and a copy of this CEO Report (along with an annual certification of compliance) will be submitted to the Texas Higher Education Coordinating Board (THECB) by **October 31, 2024** as required annually by 19 Tex. Admin. Code Section 3.19(a).

Appendix A 2024 CEO Summary Data

2023-2024 Academic Year: September 1, 2023 – August 31, 2024

20	23-2024 Academic Year: September 1, 2023 – August 31, 2024	
	Texas Education Code, Section 51.252: Employee Reporting Requirements	
Number of reports received under Section 51.252 ¹		185
a.	Workforce members submitted reports under Section 51.252	161
b.	Confidential reports ² under Section 51.252	0
c.	Non-workforce member submitted reports under Section 51.252	24
Number of investigations conducted under Section 51.252		13
a.	Formal investigation ongoing	7
b.	Formal investigation completed (Non-Title IX Regs) – Preliminary Determination (Preponderance of evidence not met) and no disciplinary referral	5
c.	Formal investigation completed (Non-Title IX Regs) – Preliminary Determination (Preponderance of evidence met) and referred to a disciplinary	
	process	1
d.	Formal investigation completed – No Preliminary Determination and referred to adisciplinary process ³	0
Disposition of disciplinary processes for reports under Section 51.252:		1
a.	Disciplinary process pending	0
b.	Disciplinary process completed – No Finding of Policy Violation ⁴	0
c.	Disciplinary process completed – Employee Disciplinary Sanction	1
d.	Disciplinary process completed – Student Disciplinary Sanction	0

¹ Not all reports of alleged sexual harassment, sexual assault, dating violence, and stalking against a student or employee are reflected in the CEO Report. Reports made by students and all other non-employees (including incidents under 19 Tex.

Admin. Code Section 3.5(d)(3)) directly to the Title IX Coordinator are excluded. Further, if the Title IX Coordinator receives a report that a student or employee was a victim of sexual harassment, sexual assault, dating violence, or stalking prior to being enrolled at or employed by the institution, the report is excluded. Additionally, if a Title IX Coordinator or Deputy Coordinator determines that the type of incident described in a report, as alleged, does not constitute "sexual harassment," "sexual assault," "dating violence," or "stalking" as defined in the TEC, Section 51.251, the report is excluded. When identifiable, duplicate reports were consolidated and counted as one report in the summary data. For example, two employees may witness the same incident of sexual harassment and then report it to the Title IX Coordinator. If the Title IX Coordinator can identify the two reports as being the same incident, then the incident will be counted once. It is the responsibility of the Title IX Coordinator or Deputy Title IX Coordinator to assess each report received and determine whether it is properly included in this report, and if so, to correctly identify the type of incident.

² A confidential report consists only of the "type of incidential employee to the Title IX Coordinator; therefore, personally identifiable information is excluded under these circumstances. Examples of confidential employees may include victim advocates for students, student ombuds, or those who work in a counseling center or student health center.

³ Some investigation reports contain an investigator's preliminary determination regarding the respondent's responsibility. But in other instances, for example, those classified as "Title IX" investigations, the investigation report will not contain a preliminary determination because the Title IX regulations, effective August 14, 2020, prohibit investigator determinations regarding responsibility.

^{4 &}quot;No Finding of a Policy Violation" in this section refers to instances where there is no finding of responsibility after a hearing or an appeal process; investigations completed with a preponderance of evidence not met are excluded since it would not have moved forward into a disciplinary process.

Texas Education Code, Section 51.255: Failure to Report or False Reports	
Number of reports received that include allegations of an employee's failure to report or submitting a false report to the institution under Section 51.255(a)	3
Number of investigations conducted regarding Section 51.255	
a. Formal investigation ongoing	0
b. Formal investigation completed (Non-Title IX Regs) – Preliminary Determination (Preponderance of evidence <u>not</u> met) and no disciplinary referral	3
c. Formal investigation completed (Non-Title IX Regs) – Preliminary Determination (Preponderance of evidence met) and referred to a disciplinary process	0
Any disciplinary action taken, regarding failure to report or false reports to theinstitution under Section 51.255(c):	
a. Employee termination	0
b. Institutional intent to termination, in lieu of employee resignation during disciplinary process	0
c. Employee not eligible for re-hire, in lieu of employee resignation prior to investigation	0
	0
Number of reports under Section 51.255(c) for which the institution determined not to initiate a disciplinary process	0
a. Intake/review process ongoing	0
b. Administrative closure due to insufficient information to investigate	0
c. Determined matter was previously investigated or alleged conduct did not meet the definition of employee's failure to report or submitting a false report to the institution	0

⁵ The 2023 CEO Report Updates consists of the cumulative data set that originated in 2022-2023 with updated statuses of investigation or disciplinary process dispositions. For example, investigations that were ongoing or disciplinary processes the were pending as of 2023's CEO Report that have since concluded as of

⁶ Same as footnote 1.

⁷ Same as footnote 2.

⁸ Same as footnote 3.

⁹ Same as footnote 4.

Appendix B

2023 CEO Summary Data Updates⁵

2022-2023 Academic Year: September 1, 2022 – August 31, 2023

_,	rugust 31, 2023	
	Texas Education Code, Section 51.252: Employee Reporting Requirements	
N	umber of reports received under Section 51.252 ⁶	178
a.	Employee submitted reports under Section 51.252	176
b.	Confidential reports ⁷ under Section 51.252	2
Number of investigations conducted under Section 51.252		
a.	Formal investigation ongoing	0
b.	Formal investigation completed (Non-Title IX Regs) – Preliminary Determination (Preponderance of evidence not met) and no disciplinary	7
	referral	
c.	Formal investigation completed (Non-Title IX Regs) – Preliminary Determination (Preponderance of evidence met) and referred to a	10
	disciplinaryprocess	•
d.	Formal investigation completed – No Preliminary Determination and referred to adisciplinary process ⁸	0
Di	sposition of disciplinary processes for reports under Section 51.252:	10
a.	Disciplinary process pending	0
b.	Disciplinary process completed – No Finding of Policy Violation ⁹	0 10
c.	Disciplinary process completed – Employee Disciplinary Sanction	0
d.	Disciplinary process completed – Student Disciplinary Sanction	
N	imber of reports under Section 51.252 for which the institution determined not to initiate a disciplinary process	161
a.	Confidential reports under Section 51.252	161
b.	Intake/review process ongoing	2
c.	Respondent's identity is unknown	34
d.	Administrative closure due to insufficient information to investigate	11
e.	Determined matter was previously investigated or alleged conduct did not meet the definition of sexual harassment, sexual assault, dating	0
	violence or stalking	Ü
f.	Complainant requested the institution not investigate	99
g.	Informal resolution process ongoing	0
h.	Informal resolution process completed	15

	Texas Education Code, Section 51.255: Failure to Report or False Reports		
Nu	mber of reports received that include allegations of an employee's failure toreport or submitting a false report to the institution under Section		
51.255(a)		3	
Number of investigations conducted regarding Section 51.255		3	
a.	Formal investigation ongoing	0	
b.	Formal investigation completed (Non-Title IX Regs) – Preliminary Determination (Preponderance of evidence <u>not</u> met) and no disciplinary referral	3	
c.	Formal investigation completed (Non-Title IX Regs) – Preliminary Determination (Preponderance of evidence met) and referred to a disciplinary process	0	
Any disciplinary action taken, regarding failure to report or false reports to theinstitution under Section 51.255(c):			
a.	Employee termination	0	
b.	Institutional intent to termination, in lieu of employee resignation during disciplinary process	0	
c.	Employee not eligible for re-hire, in lieu of employee resignation prior to investigation	0	
		0	
Number of reports under Section 51.255(c) for which the institution determined not to initiate a disciplinary process			
a.	Intake/review process ongoing	0	
b.	Administrative closure due to insufficient information to investigate	0	
c.	Determined matter was previously investigated or alleged conduct did not meet the definition of employee's failure to report or submitting a	0	
	false report to the institution	0	